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Executive Registry
62-4186/1

26 JUL 1962

25X1 MEMORANDUM FOR: [redacted]

SUBJECT : Research Coordination - Social and Behavioral Sciences

REFERENCE : Memo dtd 19 Jun '62 to DDCI fr Asst. for Coordination; Subject: Research Coordination - Social and Behavioral Sciences

1. The reference memorandum presents a State Department proposal to extend the role of the External Research Division in the Bureau of Intelligence and Research, Department of State. The purpose of this memorandum is to present some background and financial information concerning the External Research Program in the Department.

2. The External Research Program of the Department of State was established in 1948 to assure efficient utilization of non-government research in support of intelligence and policy operations. The program provides the Department of State, CIA, various Defense agencies, USIA and other agencies with pertinent information and advice on (a) social science research dealing with foreign areas, foreign policy, and international problems, and, (b) research on social, psychological, and economic factors relevant to the conduct of U. S. foreign programs. In addition, beginning with FY 1962, the Division is responsible for management of a State contract research program of approximately [redacted] 25X1

3. The External Research Division maintains an index of government sponsored contractual research on foreign areas, obtaining the pertinent data from the sponsoring agencies. Each calendar quarter it publishes an inventory of contracts. (The publication is classified "Secret".) A tabulation of some 400 contracts reported in the publication over a period of several quarters reveals that the Agency for International Development reported roughly 155 contracts, Air Force reported 125 and CIA reported 56. Other agencies varied from a low of one (NSA and Arms Control, one each) to a high of 22 for Army. The information on these contracts is usually gathered and published after the research contracts have been let. Advance coordination through the External Research Division is not required and, therefore, there is not a uniform method of coordination. Some offices

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(OSI for example) conduct a search of the quarterly published inventory prior to entering into new contracts. ORR, in addition to searching the published inventory, coordinates its external research requirements through the Economic Intelligence Committee (USIB), and the EIC, in turn, requests the External Research Division to conduct a search of its records. The offices do, however, make consistent use of the inventory. The value of a central record such as that maintained by the External Research Division was demonstrated recently when the Division, in response to a request from Senator Fulbright, was able to supply the Senator with a consolidated report of government sponsored external research on the USSR and Communist China.

4. In addition to the records maintained and published on government sponsored research, the Division maintains a private research catalogue of social science research conducted in the United States on foreign areas and international affairs. Information for the catalogue is obtained through annual surveys of universities, foundations, research centers, etc. The catalogue is unclassified and is open to the public. External Research lists of current private research on foreign areas and international affairs are published and distributed throughout the government and to university libraries, department heads, individual scholars, and foreign academic institutions.

25X1

5. Until FY 1953, CIA contribution to the External Research Division consisted of a detail of three persons. Beginning with FY 1953, CIA has made a financial contribution in lieu of detailing personnel. This contribution has grown from a rate of [redacted] in FY 1953 to [redacted] 25X1
25X1 [redacted] in FY 1962. Funds in this amount have been budgeted and committed for FY 1963 and will be included in the DD/I budget submission for FY 1964. The 25X1
25X1 [redacted] supports [redacted] State Department financial contribution in FY 1962 (exclusive of funds for management of the State research program mentioned in paragraph 2.) was in the neighborhood of [redacted] and Defense [redacted] 25X1
25X1 The ratios of support, FY 1962, are CIA 58%, State 32% and Defense 10%.

6. Briefly summarizing the above, (a) the External Research Division serves essentially as a ready reference point for information on what is being done by the participating agencies and by private persons and institutions, (b) that the Division can, when authorized to do so, respond for the Community to inquiries from Congressional Committees and others, and (c) that CIA reports only about 14% of the contracts included in the inventory, whereas AID and Defense each reports more than 30%. This memorandum does not attempt to evaluate the benefit of services or facilities of the External Research Division to CIA. However, there would appear no very strong justification for the Agency to continue to carry the major financial load. If the recommendation

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of State Department to extend the role of the Division is approved, State Department should be requested to prorate the financial requirements to the participating agencies somewhat in relation to the use each agency will make of the services and facilities of the Division.

[redacted]

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Chief, Budget Division

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Remarks:

[redacted]

This memo is in response to your note of 6 July. I have not coordinated this with Mr. Bross and therefore, the opinions expressed are strictly my own. I have just learned that Defense has declined to provide its customary [redacted] in FY 1963.

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Chief, Bud. Div./6E 62	7/26/62

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Remarks:

25X1

[redacted]

These have been placed on Exec. Comm. agenda for Aug. 20. Will leave it up to you as to whether members of the committee need any backup material. LBJr

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[redacted] 13 Aug

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MEMORANDUM FOR: Wm. Carter

STAT Attached is an analysis by Budget Officer [redacted] of the overall program in Govt. social and behavioral sciences.

STAT [redacted] previously recommended that we support the new State proposal.

We are now contributing 58% of the total budget, and State will doubtless ~~ask us to~~ (DATE) pick up Defense's [redacted]

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FORM NO. 101 REPLACES FORM 10-101 (47)
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19 June 1962

MEMORANDUM FOR: Deputy Director of Central Intelligence

SUBJECT: Research Coordination - Social and Behavioral Sciences

FOR INFORMATION

1. We have noted with great interest the recent proposal by the Department of State for an extension of the role of its External Research Division in the coordination of government-sponsored external research in the social and behavioral sciences. That proposal entitled "Proposal for Research Coordination - Social and Behavioral Sciences" (attached) has, we understand, been submitted to the staff of the Deputy Director (Plans) under a covering memorandum from the Department of State requesting a statement of CIA intent to continue financial support to the External Research Division at the existing level.

2. The Coordination Staff of the Director of Central Intelligence has for some time been exploring the possibility of extending the area of coordination carried on by the External Research Division. It has seemed to us that the experience and files developed by that Division as an interagency service for twelve years could be even more profitably employed in the coordination of the large, expanding contractual research programs of the government. The new proposal by the State Department seems to us to be an excellent move in that direction, especially since it suggests a more active form of coordination and greater interagency participation, with resultant economy and efficiency in the government's use of external research assets.

3. It is our understanding that interested elements in the Department of Defense, the Services, Agency for International Development, the Disarmament Agency and the Bureau of the Budget have reacted favorably to the proposal and that State is prepared, when assured of continuing CIA support, to seek from those agencies whatever additional formal agreements may be required.

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4. We in the Coordination Staff believe that the new State proposal merits favorable consideration and support as a means of improved coordination of benefit to the intelligence community.

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[redacted]
Assistant for Coordination

cc: DD/P, CIA
Comptroller, CIA

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PROPOSAL FOR RESEARCH COORDINATION SOCIAL AND BEHAVIORAL SCIENCES

The Problem.

There are now at least 25--probably more--groups within the executive agencies of the government which have programs of contract research in the social and behavioral sciences; annual expenditures of these groups amount to $65,000,000. With the exception of those agencies or parts of agencies forming the United States Intelligence Board, there is no coordinating agency for contract research expenditures among the agencies. Data gathering and coordinating for the USIB is accomplished through the External Research Division of the Department of State, but even its information on contracts is usually gathered and published after research contracts have been let. As a matter of convenience, many agencies other than USIB members are also accustomed to provide information concerning their research contracts to XR for its classified publication. Though XR has financial support from three components of Government, the other elements do not have any formal relationship--either financial or other--to the Division or to the Department of State.

Since there are no recognized techniques for preaudit of contract proposals to assure that the information to be contracted for is not otherwise available, contracting agencies run the constant risk of duplicating each others' contracts or making unnecessary contracts and do not have available the record of performance of any prospective contractor. A contract officer can thus not have assurance that a proposed contractor will provide the required service unless he has personal knowledge of the contractor's capabilities and performance record.

Because of the multiplication of agencies and individuals concerned with these functions, potential contractors and private scholars are too often disturbed by "people from Washington." The less scrupulous contractors sometimes sell the same product to several agencies.

A Proposal.

1. The executive agencies (including, but not limited to, intelligence agencies) might wish to establish an interagency working group to examine proposed research contracts in the social and

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behavioral sciences and make recommendations that the proposed contracts be let or not let. The recommendations should not have binding effect; agencies would presumably be unwilling to sacrifice that much independence. This proposal conforms to the premises of the President's Reorganization Plan No. 2 which will establish the Office of Science and Technology.

2. The working group should have as its staff a number of coordinating specialists thoroughly familiar with the literature in the relevant fields whose duty it would be to maintain liaison with all Government agencies and research scholars. It should maintain records of government-sponsored research in the social and behavioral sciences and also of similar research available from private scholars. This staff would receive research proposals, compare them with files of research products already available and provide the interagency working group with information and advice sufficient to allow it to make a recommendation concerning the implementation of a proposed contract.

3. The staff group, being a repository of information concerning research specialists as well as their research, would offer assistance in selecting contractors for proposed projects, thus helping to minimize the possibility of allocating too many projects to a single contractor or individual. It would also provide evaluations of research already completed if evaluations were required.

4. The existing External Research Division of the Department of State should serve as a nucleus of a staff such as that described; it is already an interagency unit. It has accumulated records of Government-contracted research and private research in social and behavioral sciences for a period of about twelve years which could be made available to the new staff. Locating the unit in the Department of State provides it with a highly desirable degree of prestige without appearing to commit the unit to favor any one of the large-scale research programs.

5. In keeping with the interagency nature of the staff, its funds should be provided by the agencies which it serves. A staff adequate to undertake the coordinating and record-keeping tasks outlined above would be composed of 23 members as follows: GS-15 (1); GS-13 or 14 (2); GS-12 (4); GS-11 (2); GS-9 (2); GS-7 (5); GS-5 (5); GS-3 (2).

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Cost of the work would total [redacted] as follows: 25X1

Salaries and fringe benefits	[redacted] 25X1
Travel	
Supplies, memberships and subscriptions	
Contingencies	

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Remarks:

STAT

[redacted]

In view of para 1, do you know whether we have anything programmed to support this type of activity?

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